



03/01/00

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL LETTER

ATTORNEY DOCKET NUMBER:

10392/46801

Assistant Commissioner for Patents
Washington D.C. 20231

Transmitted herewith for filing is the patent application of

Inventors: Steven M. H. Wallman

For: METHOD AND APPARATUS FOR TRADING SECURITIES OR OTHER INSTRUMENTS

Enclosed are:

1. 19 sheets of specification, 17 sheets of claims, and 1 sheet of abstract.
2. 3 sheets of drawings.

The filing fee has been calculated as shown below:

	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)
BASIC FEE			690.00	690.00
TOTAL CLAIMS	76 - 20 =	56	18.00	1,008.00
INDEPENDENT CLAIMS	4 - 3 =	1	78.00	78.00
MULTIPLE DEPENDENT CLAIM PRESENT				.00
FEE FOR RECORDATION OF ASSIGNMENT			40.00	0.00
Number extra must be zero or larger			TOTAL	1,776.00
If applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL	.00

****PAYMENT DEFERRED****

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3. The applicant is: Steven M.H. Wallman

Dated: March 1, 2000

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